

# 3/A 108  
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Typed or Printed Name	Steven F. Goldstein		
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Date: November 13, 2001

To: Assistant Commissioner  
United States Patent & Trademark Office  
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From: Carol L. Francis, Reg. No. 36,513  
BOZICEVIC, FIELD & FRANCIS LLP

Re: U.S. Patent Application Serial No. 09/956,999  
Filing Date: September 19, 2001  
Title: Database for Storage and Analysis of Full-Length Sequences  
Inventor(s): Stephen E. Lincoln et al.  
Atty. Docket No.: 06514069CON

Message: Please file the following Preliminary Amendment in the above-referenced application.

Please call Steven Goldstein at (650) 833-7771 if there are any problems with this transmission.

Thank You!

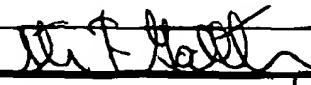
Total number of pages, including this cover sheet:

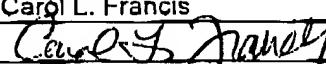
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Name (Print/Type)	Steven F. Goldstein	Signature		Date	11-13-2001		
<b>TRANSMITTAL</b>			Application Number	09/956,999			
Small Entity			Confirmation Number	N/A			
<input checked="" type="checkbox"/> Large Entity			Filing Date	September 19, 2001			
			First Named Inventor	Stephen E. Lincoln et al.			
			Examiner	J. Martinell			
			Group Art	1633			
			Attorney Docket No.	06514069CON			
ENCLOSED:		Claims	No. of claims as filed or after amendment	Most claims previously paid for	# Extra Claims	Rate	Totals
<input checked="" type="checkbox"/> Amendment Under Rule 1.607		Total	4	27		\$	-
<input checked="" type="checkbox"/> 37 CFR § 1.607		Independent	4	6		\$	-
<input checked="" type="checkbox"/> Pages 9		Multiple					
		Total Extra Claim Fees				\$	-
<input type="checkbox"/> Applicants Petition for an Extension of time from _____ to _____		A month extension was previously filed and paid for thereby reducing the basic fee				Fee	
<input type="checkbox"/> Response to File Missing Parts (with copy of formalities letter)							
<input type="checkbox"/> Filing Fee						Fee	
<input type="checkbox"/> Executed Declaration		Pages _____				Surcharge Fee	
<input type="checkbox"/> Other		_____ _____ _____				Fee	
		_____ _____ _____				Fee	
		_____ _____ _____				Fee	
		_____ _____ _____				Fee	
						Subtotal \$ -	
<input type="checkbox"/> Information Disclosure Statement							
<input type="checkbox"/> PTO Form 1449		Pages _____					
<input type="checkbox"/> Copies of Cited References		_____ _____ _____				Fee	
<input type="checkbox"/> Other		_____ _____ _____				Fee	
		_____ _____ _____				Fee	
		_____ _____ _____				Subtotal \$ -	
<input type="checkbox"/> Response to Notice to Comply (with copy of Notice to Comply)							
<input type="checkbox"/> Sequence Listing Certification							
<input type="checkbox"/> Paper Copy of Sequence Listing		Pages _____					
<input type="checkbox"/> Diskette in computer-readable format		_____ _____ _____				Fee	
<input type="checkbox"/> Other		_____ _____ _____				Fee	

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees	Fee _____	
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard	TOTAL FEES \$ -	
<p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p>		
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>		
Name (Print/Type)	Carol L. Francis 	Registration No. 36,513
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Application No. 09/956,999 Attorney Docket No. 06514069CON Page 2 of 2